

JEREMY DELA CRUZ

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SUMMARY

- **Global Compliance Professional** with 7+ years of project management experience
- **Relationship Builder** confident engaging with senior leaders to drive strategic progress
- **Proactive Analyst** responsible for communicating insights and process improvements

EXPERIENCE

PROJECT MANAGEMENT & STRATEGIC PLANNING

Principal Business Strategy, Chief of Staff to Chief Compliance Officer May 2023–Present
Discover Financial Services – Riverwoods, IL

- Partner with CCO Leadership Team to steer business unit reporting and operational projects
- Drive the calendar and agenda for key risk and issue management meetings for functional VPs
- Develop compelling presentations for enterprise-wide audiences as the resident PowerPoint SME

Group Compliance Officer

January 2022–April 2023

Optiver – Chicago, IL (*reporting to London-based Global Chief Compliance Officer*)

- Defined end-to-end project plans, goals, and deliverables that support Global Compliance strategic priorities in collaboration with senior executives and Regional Compliance partners
- Communicated data insights and recommendations across all levels of the organization through executive-level presentations, status reports, memos, and Confluence resources
- Navigated regulatory filings (Forms BD, U4, U5, NRF), securities licensing, and SRO exchange registrations in addition to responding to FINRA and SEC inquiries as Super Account Administrator
- Spearheaded analysis, onboarding, and implementation of StarCompliance software solution serving 2,000+ global users in the U.S., Europe, and Asia Pacific offices

BUSINESS ANALYSIS & MARKET RESEARCH

Consultant

February 2021–January 2022

CCS Fundraising – Philadelphia, PA (*reporting to Senior Vice President*)

- Raised \$9M in philanthropic investments during the first 8 months of a client capital campaign
- Facilitated weekly meetings and presentations to track workflows, deliverables, and outcomes
- Performed financial and strategic analysis of fundraising metrics for stakeholder reviews

Business Analyst

April 2019–January 2021

Bodycote – Andover, MA (*reporting to Geneva-based Chief Marketing Officer*)

- Presented Power BI data to illustrate aircraft revenue and industry analysis for C-Suite executives
- Managed aerospace project to track \$450M in revenue across 47 sites in Europe and the U.S.
- Implemented cross-functional procedures for tracking aircraft platforms and engine programs

Graduate Roles in U.S. Securities Industry

February 2016–March 2019

Interactive Brokers and National Futures Association – Chicago, IL

- Liaised between technical teams to coordinate onboarding of high-net-worth customers
- Performed AML and KYC due diligence on institutional investors and sophisticated traders
- Executed risk and control assessments of swap dealer compliance with NFA and CFTC regulations

EDUCATION

UNIVERSITY OF NOTRE DAME – Notre Dame, IN

Master of Science in Finance

Bachelor of Arts in French and Philosophy, *cum laude*

Fulbright ETA Scholarship to Andorra

SKILLS

French (Advanced), Microsoft Office, Jira, FINRA Gateway/Web CRD, Public Speaking, Visio, Lucidchart, Confluence, StarCompliance, MyComplianceOffice, Policy Development

CERTIFICATIONS

Securities Industry Essentials (SIE) Exam (2022), NFA Swaps Proficiency Requirements (2022)